

1 Scouring of granular beds by jet-driven axisymmetric turbulent cauldrons

2 **2** Fabián A. Bombardelli^{a)}

3 **3** *Department of Civil and Environmental Engineering, University of California, Davis, California 95616*

4 **4** G. Gioia

5 **5** *Department of Theoretical and Applied Mechanics, University of Illinois, Urbana, Illinois 61801*

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7 **7** We study a sustained, jet-driven, axisymmetric turbulent cauldron that scours a pothole in a
 8 **8** cohesionless granular bed. We focus on the energetics of the turbulent cauldron and use dimensional
 9 **9** analysis and similarity methods to derive (up to a multiplicative constant) a formula for the
 10 **10** equilibrium depth of the pothole. To that end, we assume that the power of the jet is stationary and
 11 **11** that under equilibrium conditions no air or granular material from the bed is entrained in the
 12 **12** cauldron. The resulting formula contains a single similarity exponent, which we show can be
 13 **13** determined via the phenomenological theory of turbulence. Our method of analysis may prove
 14 **14** useful in developing a theoretical understanding of mine burial, bridge pier-induced erosion, and
 15 **15** other applications in which a localized turbulent flow interacts with a granular bed.

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17 **18** Numerous applications in hydrology, geomorphology,
 18 **19** and hydraulics involve a water jet that plunges into a pool of
 19 **20** water with a cohesionless granular bed for a bottom.¹ Driven
 20 **21** by the jet, a turbulent cauldron develops in the pool and
 21 **22** starts to scour a pothole, which deepens until a state of dy-
 22 **23** namic equilibrium is attained between the granular bed and
 23 **24** the turbulent cauldron (Fig. 1). For example, when a small-
 24 **25** head dam is overtopped by a high flood, a pothole is scoured
 25 **26** in the granular bed behind the dam. This pothole confines the
 26 **27** turbulent energy, which would otherwise migrate down-
 27 **28** stream and cause environmental damage there;¹ nevertheless,
 28 **29** if the pothole is too deep, it can compromise the stability of
 29 **30** the dam. In a recent paper,² we derived a formula for the
 30 **31** depth of the pothole as a function of the power of the jet and
 31 **32** the properties of the granular bed. Because most applications
 32 **33** correspond to the *cylindrical case*, where the turbulent caul-
 33 **34** dron is roughly cylindrical (Fig. 1), in Ref. 2 we derived our
 34 **35** formula for the cylindrical case. Yet other less frequent ap-
 35 **36** plications correspond to the *axisymmetric case*, in which the
 36 **37** turbulent cauldron is roughly spherical (Fig. 1). For example,
 37 **38** when the levee of a river is breached over a narrow portion
 38 **39** of its length, the ensuing jet scours a bowl-shaped pothole in
 39 **40** the backswamp of the river—a *crevasse lake*. There has been
 40 **41** a want of research into the axisymmetric case, for which no
 41 **42** theoretical formula appears to be available. In this paper, we
 42 **43** use dimensional analysis, similarity methods,³ and the phe-
 43 **44** nomenological theory of turbulence^{4,5} to derive a theoretical
 44 **45** formula for the axisymmetric case. Interestingly, our results
 45 **46** indicate that in most experiments purported to represent the
 46 **47** cylindrical case,⁶ the actual experimental conditions must
 47 **48** have been intermediate between the cylindrical case and the
 48 **49** axisymmetric case.

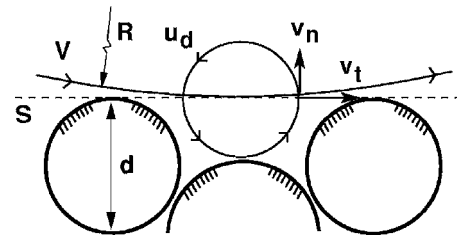
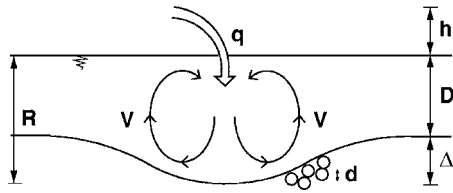
49 **50** We start by ascertaining to what extent a theoretical for-
 50 **51** mula may be predicated on dimensional analysis and simi-

larity methods. Our first step is to choose a suitable set of **52** 51
 variables. After evaluating several alternatives, we decide on **53** 52
 the following set of six variables: R , ρ , g , ρ_s , d , and P . Here **54** 53
 R is the size of the turbulent cauldron (Fig. 1), ρ is the **55** 54
 density of pure water (we assume that no air or grains from **56** 55
 the granular bed are entrained in the cauldron⁷), g is the **57** 56
 gravitational acceleration, ρ_s and d are the density and the **58** 57
 diameter of the grains of the granular bed, respectively, and **59** 58
 P is the power of the jet, $P=q\rho gh$, where q is the volume **60** 59
 flux of the jet. Note that P is the stationary power that sus- **61** 60
 tains the turbulent cauldron; by choosing P as a variable, we **62** 61
 place the focus of our analysis on the *energetics* of the tur- **63** 62
 bulent cauldron. Also note that in our set of variables we do **64** 63
 not include the viscosity (or the Reynolds number Re) be- **65** 64
 cause in all applications of interest the bed is “hydraulically **66** 65
 rough” (i.e., Re is sufficiently high that $d \gg \eta$, where η is **67** 66
 the Kolmogorov length scale). The dimensional equations **68** 67
 $[P]=[\rho][g]^{3/2}[R]^{7/2}$, $[\rho_s]=[\rho]$, and $[d]=[R]$ show that the di- **69** 68
 mensions of three of the variables (P , ρ_s , and d) can be **70** 69
 expressed as products of powers of the dimensions of the **71** 70
 remaining variables; it follows from Buckingham’s Π **72** 71
 theorem³ that we can reduce the functional relation among P , **73** 72
 R , ρ , g , ρ_s , and d to an equivalent functional relation among **74** 73
 three dimensionless variables. With the sensible choice of **75** 74
 dimensionless variables $\Pi_1 \equiv P/\rho g^{3/2} R^{7/2}$, $\Pi_2 \equiv \rho_s/\rho$ (the **76** 75
 relative density of the bed), and $\Pi_3 \equiv d/R$ (the relative **77** 76
 roughness of the bed), we may write $\Pi_1 = \mathcal{F}[\Pi_2, \Pi_3]$ or, **78** 77
 equivalently, **79** 78

$$P = \rho g^{3/2} R^{7/2} \mathcal{F} \left[\frac{d}{R}, \frac{\rho_s}{\rho} \right], \quad (1) \quad \mathbf{80} \quad 79$$

where \mathcal{F} is a dimensionless function of the relative density **81** 80
 and of the relative roughness of the bed. To make further **82** 81
 progress, we note that in applications $d/R \ll 1$, and we seek **83** 82
 to formulate an asymptotic similarity law for $d/R \rightarrow 0$. There **84** 83
 are two possible similarities: complete and incomplete.³ In **85** 84

^{a)}Electronic mail: fabombardelli@ucdavis.edu



84 FIG. 1. Geometry and notation. A jet of stationary volume flux q plunges
85 from a height h (the head) into a pool of uniform depth D . The jet sustains
86 a turbulent cauldron, which in turn scours a pothole of depth Δ in a granular
87 bed composed of cohesionless grains of diameter d . The largest eddies in the
88 cauldron have a velocity V and a size that scales with the size of the caul-
89 dron, $R \equiv D + \Delta$. In the cylindrical case, the jet and the pothole are cylinders
90 with axes perpendicular to the plane of the figure, and q has units of volume
91 per unit time and per unit length along the axis. In the axisymmetric case,
92 the jet and the pothole share a vertical axis of rotational symmetry, and q has
93 units of volume per unit time.

FIG. 2. Three grains of diameter d lying at the surface of the pothole. The dashed line is the trace of a wetted surface S tangent to the peaks of the grains at the surface of the pothole. The size of the coves between successive grains on the bed scales with d .

1 86 the case of complete similarity in d/R , $\mathcal{F}[d/R, \rho_s/\rho]$ be-
2 87 comes independent of d/R as $d/R \rightarrow 0$. If this were the case,
3 88 R would be independent of d for $d/R \ll 1$, which would be
4 89 incompatible with the empirical evidence that the roughness
5 90 of a wall does affect a turbulent flow over the wall. On the
6 91 other hand, in the case of incomplete similarity in d/R , (1)
7 92 admits the following power-law asymptotic expression:³
8 93 $\mathcal{F}[d/R, \rho_s/\rho] = (d/R)^\alpha \mathcal{G}[\rho_s/\rho] + o[(d/R)^\alpha]$, where α is a simi-
9 94 larity exponent, which cannot be determined by dimensional
10 95 analysis, and \mathcal{G} is a dimensionless function of the relative
11 96 density of the bed, ρ_s/ρ . By substituting the leading term of
12 97 this asymptotic expression in (1) and rearranging, we obtain
13 98 the following formula for the depth of the pothole:

14 99
$$\Delta = K q^{e_q} h^{e_h} g^{e_g} d^{e_d} \mathcal{H} \left[\frac{\rho_s}{\rho} \right] - D, \quad (2)$$

15 100 where $e_q = e_h = 2/(7-2\alpha)$, $e_g = -1/(7-2\alpha)$, $e_d = -2\alpha/(7-2\alpha)$,
16 101 and we have defined $\mathcal{H}[\rho_s/\rho] \equiv 1/K(\mathcal{G}[\rho_s/\rho])^{2/(7-2\alpha)}$, where
17 102 K is a dimensionless constant. The theoretical formula of (2)
18 103 contains numerous exponents, but these exponents turn out
19 104 to be functions of a single free parameter, the similarity ex-
20 105 ponent. Thus the exponents of (2) could be estimated via the
21 106 empirical determination of the similarity exponent. Never-
22 107 theless, we show presently that (2) as well as the function
23 108 $\mathcal{H}[\rho_s/\rho]$ and the value of the similarity exponent can be de-
24 109 rived in a completely independent way by using the phenom-
25 110 enological theory of turbulence.

26 111 The phenomenological theory was originally derived for
27 112 isotropic and homogeneous flows,⁴ but recent research⁵ indi-
28 113 cates that the theory applies as well to flows that are neither
29 114 isotropic nor homogeneous, as is the case of the flow in the
30 115 turbulent cauldron. The theory is based on two tenets pertain-
31 116 ing to the steady production of turbulent (kinetic) energy: (i)
32 117 The production occurs at the length scale of the largest ed-
33 118 dies in the flow, and (ii) the rate of production is independent
34 119 of the viscosity. From these tenets, it is possible to obtain a
35 120 scaling expression for the rate of production of turbulent en-
36 121 ergy per unit mass of cauldron (which we denote by ε) in
37 122 terms of the velocity of the largest eddies (which we denote
38 123 by V) and of the size of the largest eddies (which scales with
39 124 R).⁸ The largest eddies possess a kinetic energy per unit mass
40 125 $e \sim V^2$ and a turnover time $t \sim R/V$, where ‘ \sim ’ means ‘scales

with.’ These eddies persist for a time t , whereupon they split 126 41
into second-generation eddies (of size $\sim R/2$), thereby trans- 127 42
ferring their energy to smaller length scales. For the steady 128 43
state to be preserved, a new set of large eddies must therefore 129 44
be produced at time intervals t , implying that $\varepsilon = e/t$ 130 45
 $\sim V^3/R^8$. Now the second-generation eddies in turn split into 131 46
third-generation eddies (of size $\sim R/4$), thereby transferring 132 47
the kinetic energy to still smaller length scales, and so on 133 48
down to the Kolmogorov length scale, $\eta = \nu^{3/4} \varepsilon^{-1/4}$ (where ν 134 49
is the kinematic viscosity), at which length scale the energy 135 50
can be dissipated by the viscosity.⁴ Thus, for a generation of 136 51
eddies of size l and velocity u_l , it must be that $\varepsilon \sim u_l^3/l$, 137 52
which together with $\varepsilon \sim V^3/R$ leads to the Kolmogorov 138 53
scaling,⁴ $u_l \sim V(l/R)^{1/3}$ (valid for $l/\eta \gg 1$). We recall these 139 54
results later on. 140 55

Now we consider the energetics of the turbulent caul- 141 56
dron and seek to obtain a scaling expression for V , the ve- 142 57
locity of the largest eddies. The production of turbulent en- 143 58
ergy is driven by the jet, whose power is $P = q\rho gh$. Therefore, 144 59
 P must equal the rate of production of turbulent energy in the 145 60
cauldron (note that P is independent of the viscosity, in ac- 146 61
cord with the second tenet of the phenomenological theory 147 62
stated above), and we can write $P = \varepsilon M$, where ε is the tur- 148 63
bulent power per unit mass, and $M \sim \rho R^3$ is the mass of the 149 64
cauldron. It follows that $\varepsilon \sim qgh/R^3$ and, from a comparison 150 65
with $\varepsilon \sim V^3/R$, that 151 66

152 67
$$V \sim \left(qg \frac{h}{R^2} \right)^{1/3}, \quad (3)$$

which is the sought expression for the velocity of the largest 153 68
eddies in the cauldron. 154 69

Next we consider the surface of the pothole and seek to 155 70
obtain a scaling expression for the shear stress exerted by the 156 71
flow on that surface.⁹ Let us call S a wetted surface tangent 157 72
to the peaks of the grains at the surface of the pothole (Fig. 158 73
2). The shear stress acting on S is the Reynolds stress, 159 74
 $\tau = \rho \overline{v_n v_t}$, where v_n and v_t are the fluctuating velocities nor- 160 75
mal and tangent to S , respectively, and an overbar denotes 161 76
time average.^{4,8} We study v_n first, and start by making a 162 77
crucial observation: when the relative roughness is small 163 78
($d/R \ll 1$), eddies of sizes larger than, say, $2d$, can make only 164 79
a negligible contribution to v_n (this is entirely a matter of 165 80
geometry; see Fig. 2). On the other hand, eddies smaller than 166 81
 d fit in the coves between successive grains on the bed, so 167 82
that these eddies can make a sizable contribution to v_n . How- 168 83

TABLE I. Sets of exponents of (5) empirically determined (or set to zero) by different researchers. Adapted from Refs. 12 and 13. Also shown are the sets of theoretical exponents determined here for the axisymmetric case.

Researcher(s) and year	e_q	e_h	e_g	e_d	e_ρ
Aderibigbe and Rajaratnam 1996	0.5	0.25	-0.25	-0.5	0.5
Abt <i>et al.</i> 1984	0.345	0.1425	-0.17	0	0
Theory	0.4	0.4	-0.2	-0.4	0.6

1 ever, when these eddies are smaller than, say, $d/2$, their ve-
 2 locities are negligible compared with the velocity of the
 3 eddies of size d . [Recall the Kolmogorov scaling,
 4 $u_l \sim V(l/R)^{1/3}$, which is valid for $l/\eta \gg 1$; it follows that the
 5 smaller the size of an eddy, l , the smaller its velocity, u_l .]
 6 Thus, assuming that $d/\eta \gg 1$, v_n is dominated by u_d , the ve-
 7 locity of the eddies of size d . In other words, $v_n \sim u_d$. Now
 8 we turn to v_t . Eddies of all sizes can provide a velocity
 9 tangent to S . Thus, v_t is dominated by V , the velocity of the
 10 largest eddies, and $v_t \sim V$. We conclude that $\tau = \rho |v_n v_t|$
 11 $\sim \rho u_d V$. Substituting (3) and $u_d \sim V(d/R)^{1/3}$ in $\tau \sim \rho u_d V$, we
 12 obtain¹⁰

13 **181**
$$\tau \sim \rho \frac{(qhg)^{2/3} d^{1/3}}{R^{5/3}}, \quad (4)$$

14 **182** which is valid for $\eta \ll d \ll R$. To discuss Eq. (4), it is conve-
 15 **183** nient to rewrite it in terms of the power of the jet, $P = q\rho gh$,
 16 **184** with the result $\tau \sim P^{2/3}(\rho d)^{1/3}/R^{5/3}$. Now consider the instant
 17 **185** when a jet of power P plunges into the pool of water of
 18 **186** uniform depth D . Then, the pothole starts to form, and as the
 19 **187** depth Δ of the pothole increases, the size $R = \Delta + D$ of the
 20 **188** cauldron increases accordingly, leading to a decrease in τ .
 21 **189** Eventually, τ decreases to a critical value τ_c , and the scour-
 22 **190** ing ceases. Thus the condition of equilibrium between the
 23 **191** turbulent cauldron and the granular bed is $\tau = \tau_c$.⁷
 24 **192** To obtain a scaling expression for the critical stress τ_c ,
 25 **193** we follow Shields¹¹ in recognizing that the grains at the sur-
 26 **194** face of a granular bed are subjected to a Reynolds stress
 27 **195** $\tau \sim \rho u_d V$ (exerted by the turbulent flow), a gravitational
 28 **196** stress $\tau_g \sim (\rho_s - \rho)gd$, and a viscous stress $\tau_v \sim \rho\nu V/d$. Then,

if the equilibrium condition is satisfied, we can perform a **197** 29
 straightforward dimensional analysis using three variables, **198** 30
 $\tau = \tau_c$, τ_g , and τ_v . The result is $\tau_c \sim \tau_g \mathcal{I}[\text{Re}_d]$, where \mathcal{I} is a **199** 31
 dimensionless function of a Reynolds number $\text{Re}_d \equiv \tau/\tau_v$, **200** 32
 $= u_d d/\nu$. By recalling that $\varepsilon \sim u_d^3/d$, $\eta = \nu^{3/4} \varepsilon^{-1/4}$, and d/η **201** 33
 $\gg 1$, we conclude that $\text{Re}_d \sim (d/\eta)^{4/3} \gg 1$, and seek to formu- **202** 34
 late a similarity law for $\text{Re}_d \rightarrow \infty$. If we assume complete **203** 35
 similarity in Re_d , then $\mathcal{I}[\text{Re}_d]$ tends to a constant as **204** 36
 $\text{Re}_d \rightarrow \infty$ (in accord with experimental results on incipient **205** 37
 motion of granular beds¹¹), and therefore $\tau_c \sim (\rho_s - \rho)gd$, **206** 38
 which is the sought expression for the critical stress. **207** 39

Now we are ready to impose the equilibrium condition. **208** 40
 By substituting (4) and $\tau_c \sim (\rho_s - \rho)gd$ into $\tau = \tau_c$, rearranging, **209** 41
 and introducing K , a dimensionless constant of proportional- **210** 42
 ity, we obtain the following formula for Δ : **211** 43

$$\Delta = Kq^{2/5} h^{2/5} g^{-1/5} d^{-2/5} \left(\frac{\rho}{\rho_s - \rho} \right)^{3/5} - D. \quad (5) \quad \text{212} \quad 44$$

A comparison of (5) with (2) indicates that $e_q = e_h = 2/5$, **213** 45
 $e_g = -1/5$, and $e_d = -2/5$, in accord with a similarity exponent **214** 46
 of value $\alpha = 1$. Thus, the theory gives values of e_q , e_h , e_g , and **215** 47
 e_d that relate to one another in the form necessitated by the **216** 48
 independent analysis that yielded (2). Further, a comparison **217** 49
 of (5) with (2) indicates that $\mathcal{H}[\rho_s/\rho] = 1/(\rho_s/\rho - 1)^{e_\rho}$ with **218** 50
 $e_\rho = 3/5$. **219** 51

In Table I we compare our theoretical exponents with the **220** 52
 empirical exponents determined by two groups of research- **221** 53
 ers. The empirical exponents of Table I were determined by **222** 54
 fitting experimental data. Unfortunately, the data were not **223** 55

TABLE II. Sets of exponents of (5) empirically determined (or set to zero) by different researchers; nominally, all the empirical exponents correspond to the cylindrical case. Adapted from Refs. 6 and 14. Also shown are the sets of theoretical exponents determined here for the axisymmetric case and in a previous paper² for the cylindrical case.

Researcher(s) and year	e_q	e_h	e_g	e_d	e_ρ
Schoklitsch 1932	0.57	0.2	0	-0.32	0
Veronese 1937	0.54	0.225	0	-0.42	0
Eggenberger and Müller 1944	0.6	0.5	-0.3	-0.4	0.44
Hartung 1959	0.64	0.36	0	-0.32	0
Franke 1960	0.67	0.5	0	-0.5	0
Kotoulas 1967	0.7	0.35	-0.35	-0.4	0
Chee and Kung 1974	0.6	0.2	0	-0.1	0
Machado 1980	0.5	0.3145	0	-0.0645	0
Bormann and Julien 1991	0.6	0.5	-0.3	-0.4	0.8
Theory—cylindrical	0.66	0.66	-0.33	-0.66	1
Theory—axisymmetric	0.4	0.4	-0.2	-0.4	0.6

1 **224** fitted to a formula of the form (2), but to formulas similar to
 2 **225** (2). [For example, the right-hand side of the formula used by
 3 **226** Aderibigbe and Rajaratnam was not $-D$, as in (2), but
 4 **227** $-0.09D$.] Even though this fact must have affected the result-
 5 **228** ing empirical exponents, these exponents compare reason-
 6 **229** ably well with the theoretical exponents obtained here.

7 **230** Note that the formula (5) holds for the axisymmetric
 8 **231** case, but it is formally identical with the formula for the
 9 **232** cylindrical case that we derived in a previous paper.² The
 10 **233** only difference is that for the cylindrical case the theoretical
 11 **234** exponents are $e_q=e_h=2/3$, $e_g=-1/3$, $e_d=-2/3$, and $e_p=1$.
 12 **235** We endeavor presently to show that our results on the axi-
 13 **236** symmetric case have a direct bearing on the interpretation of
 14 **237** the experimental data available for the cylindrical case.

15 **238** In Table II, we compare the theoretical exponents for
 16 **239** both the axisymmetric case and the cylindrical case with the
 17 **240** empirical exponents determined by various researchers.
 18 **241** Nominally, the empirical exponents of Table II correspond to
 19 **242** the cylindrical case (they were obtained by fitting experi-
 20 **243** mental data on the cylindrical case). As might have been
 21 **244** surmised from the diversity of experimental setups and the
 22 **245** vagaries of measurement, and as Table II confirms, some-
 23 **246** times different researchers obtained widely disparate values
 24 **247** of a given exponent. Yet, for the most part, the empirical
 25 **248** values of a given exponent fall between the theoretical value
 26 **249** of that exponent for the cylindrical case and the theoretical
 27 **250** value of that exponent for the axisymmetric case. It follows
 28 **251** that the data used to determine the empirical exponents of
 29 **252** Table II might not correspond to the cylindrical case, as pur-
 30 **253** ported, but rather to cases intermediate between the cylindri-
 31 **254** cal case and the axisymmetric case. In fact, in none of the
 32 **255** experiments that yielded these data was the jet uniformly
 33 **256** powerful along the direction normal to the plane of Fig. 1.
 34 **257** Instead, the jet was confined between lateral walls and must
 35 **258** have been weaker close to those walls than in between. Such
 36 **259** a jet must have led to a pothole of variable depth: shallower
 37 **260** close to the walls, deeper away from them—that is to say, a
 38 **261** pothole neither cylindrical nor axisymmetric, but intermedi-
 39 **262** ate between the two.

40 **263** To summarize: on the basis of turbulence theory, we
 41 **264** have derived a formula for the depth of a pothole in equilib-
 42 **265** rium with a jet-driven axisymmetric turbulent cauldron
 43 **266** where the power of the jet is stationary and no air or granular
 44 **267** material from the bed is entrained in the cauldron. The for-
 45 **268** mula represents the power-law asymptotic behavior of a hy-
 46 **269** draulically rough flow of incomplete similarity in the relative
 47 **270** roughness of the cohesionless granular bed. The attendant

theoretical exponents compare reasonably well with the few **271** 48
 empirical exponents available for the axisymmetric case. Our **272** 49
 results indicate that despite current practice, theory may be **273** 50
 advantageously used instead of empirical formulas in the **274** 51
 analysis and design of overflowing gates, weirs, dams, and **275** 52
 natural obstructions. **276** 53

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 reading our manuscript and suggesting improvements. **278** 55

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⁷Grains from the bed may become entrained in the turbulent cauldron. **292** 69
 Nevertheless, the grains return to the bed as soon as the scouring ceases; **293** 70
 see Fig. 3 in V. D'Agostino and V. Ferro, *J. Hydraul. Eng.* **130**, 24 (2004). **294** 71
 Thus, the condition of equilibrium between the turbulent cauldron and the **295** 72
 granular bed is not affected by the entrained grains. On the other hand, **296** 73
 entrained air may reduce the equilibrium depth of the pothole, but the **297** 74
 reduction is negligible for the air concentrations usually encountered in **298** 75
 applications; see J. Xu, *J. Hydraul. Eng.* **130**, 160 (2004). **299** 76

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¹⁰Note that our scaling for the shear stress, $\tau \sim \rho V^2 (d/R)^{1/3}$, yields the **304** 81
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 R , $f \equiv \tau / \rho V^2 \sim (d/R)^{1/3}$. See also G. Gioia and P. Chakraborty, "Turbulent **306** 83
 friction in rough pipes and the energy spectrum of the phenomenological **307** 84
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 f is a logarithmic scaling that for $d \ll R$ can be written in the form f **309** 86
 $\sim 1 / \log^2(R/d)$, which implies $\tau \sim \rho V^2 / \log^2(R/d)$. B. A. Christensen has **310** 87
 shown [discussion on "Flow velocities in pipelines," by R. D. Pomeroy, *J.* **311** 88
Hydraul. Eng. **110**, 1510, 1984] that, within the broad range of values of **312** 89
 d/R likely to occur in applications, the difference between these two scal- **313** 90
 ings for τ does not exceed a few percentage points. In other words, for all **314** 91
 practical purposes the logarithmic scaling for τ gives the same results as **315** 92
 the power-law scaling for τ . **316** 93

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